

Execution venues and Counterparties

Below is a list of the main venues and counterparties we use to execute equities and fixed income products and to whom we transmit orders in foreign equities. This list is not exhaustive and as such we are not restricted to it. This list will be reviewed and updated from time to time in line with our order execution policy.

JM Finn executes business in **UK equities** with the following companies:

Arden Partners	Keefe Bruyette & Woods
Berenburg	Liberum Capital
BMO Capital	Macquarie
Canaccord Genuity	N+1 Singer
Cantor Fitzgerald	Numis
Cenkos	Panmure Gordon
Davy	Peel Hunt
Finnicap	Royal Bank of Canada
Flow Traders	Shore Capital
HSBC	Stifel
Investec	Virtu
Jefferies	WH Ireland
JP Morgan	Winterflood

JM Finn executes business in **fixed income** products with the following companies:

BMO Capital	NatWest Markets
Bridport	Peel Hunt
Canaccord Genuity	RIA Capital Markets
Credit Suisse	Royal Bank of Canada
Goldman Sachs	Shore Capital
HSBC	Societe Generale
Jefferies	TD Securities
JP Morgan	Winterflood
Lloyds	Zurich Cantonal Bank

JM Finn transmits **foreign equity** orders to the following companies:

Daiwa	Raymond James
HSBC	Stifel Nicolaus
Jefferies	Tellimer
Maybank Kim Eng	Virtu