**Execution venues and Counterparties**

Below is a list of the main venues and counterparties we use to execute equities and fixed income products and to whom we transmit orders in foreign equities. This list is not exhaustive and as such we are not restricted to it. This list will be reviewed and updated from time to time in line with our order execution policy.

JM Finn executes business in **UK equities** with the following companies:

|  |  |
| --- | --- |
| Berenberg | Optiver |
| Canaccord Genuity | Panmure Liberum |
| Cavendish | Peel Hunt |
| Davy | Royal Bank of Canada |
| Flow Traders | Shore Capital |
| Investec | Singer Capital Markets |
| Jefferies | Stifel |
| JP Morgan | Virtu |
| Jane Street | Winterflood |
| Marex | Zeus |
| Numis |  |

JM Finn executes business in **fixed income** products with the following companies:

|  |  |
| --- | --- |
| Bridport | Peel Hunt |
| Canaccord Genuity | RIA Capital Markets |
| Goldman Sachs | Royal Bank of Canada |
| HSBC | Singer Capital Markets |
| Jefferies | TD Securities |
| JP Morgan | Winterflood |
| Lloyds | Zurich Cantonal Bank |
| NatWest Markets |  |

JM Finn transmits **foreign equity** orders to the following companies:

|  |  |
| --- | --- |
| Daiwa | Raymond James |
| Jefferies | Stonex |
| Maybank Kim Eng | Virtu |

May 2025