

Execution venues and Counterparties

Below is a list of the main venues and counterparties we use to execute equities and fixed income products and to whom we transmit orders in foreign equities. This list is not exhaustive and as such we are not restricted to it. This list will be reviewed and updated from time to time in line with our order execution policy.

JM Finn executes business in **UK equities** with the following companies:

Arden Partners	Liberum Capital
Berenberg	Marex
BMO Capital	N+1 Singer
Canaccord Genuity	Numis
Cantor Fitzgerald	Panmure Gordon
Cenkos	Peel Hunt
Davy	Royal Bank of Canada
Finncap	Shore Capital
Flow Traders	Stifel
HSBC	Virtu
Investec	WH Ireland
Jefferies	Winterflood
JP Morgan	

JM Finn executes business in **fixed income** products with the following companies:

BMO Capital	NatWest Markets
Bridport	Peel Hunt
Canaccord Genuity	RIA Capital Markets
Credit Suisse	Royal Bank of Canada
Goldman Sachs	Shore Capital
HSBC	Societe Generale
Jefferies	TD Securities
JP Morgan	Winterflood
Lloyds	Zurich Cantonal Bank

JM Finn transmits **foreign equity** orders to the following companies:

Daiwa	Raymond James
HSBC	Stifel Nicolaus
Jefferies	Tellimer
Maybank Kim Eng	Virtu